



ZFW

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/677,131
		Filing Date	September 30, 2003
		First Named Inventor	Balint, Robert F.
		Art Unit	1639
		Examiner Name	Teresa D. Wessendorf
Total Number of Pages in This Submission	6	Attorney Docket Number	021167-001100US

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form- PTO/SB/17 in duplicate <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)		
<input type="checkbox"/> Extension of Time Request		
<input type="checkbox"/> Express Abandonment Request		
<input checked="" type="checkbox"/> Information Disclosure Statement w/form PTO/SB/08A&B		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Jean M. Lockyer, Ph.D.		
Date	August 20, 2007	Reg. No.	44,879

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Malinda C. Dagit	Date	20 Aug. 2007





I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On 20 Aug. 2007

TOWNSEND and TOWNSEND and CREW LLP

By: Malinda Adajst

PATENT  
Attorney Docket No.: 021167-001100US



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Robert F. BALINT and Jeng-Horng HER

Application No.: 10/677,131

Filed: September 30, 2003

For: METHODS FOR AFFINITY  
MATURATION

Confirmation No.: 3895

Examiner: Teresa D. Wessendorf

Art Unit: 1639

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A&B are being called to the attention of the Examiner. In compliance with the requirements of 37 CFR §1.98(a)(2), copies of U.S. references B1-B2 are not enclosed. In accordance with 37 CFR §1.98(d), a copy of reference B3 can be found in Application No. 10/208,730, filed July 29, 2002, (Attorney Docket No. 021167-000330US).

The B1 and B2 references were cited during prosecution of parent Application No. 10/208,730 (Office Action dated December 28, 2005). Reference B3 was cited in a Supplementary European Search Report that issued in an EP case corresponding to parent

10677131  
08/28/2007 SSESHE1 00000016 201430  
01 FC:1806 180.00 DA

application serial no. 10/208,730. Applicant understands the Examiner can access papers from the prosecution of the cited cases electronically via PALM. However, if the Examiner has difficulty obtaining papers from that source he or she are invited to call the undersigned who will be happy to supply them.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed on or before payment of the issue fee.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430 in accordance with the accompanying Fee Transmittal PTO/SB/17. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,  
Jean M. Lockyer, Ph.D.  
Reg. No. 44,879

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415-576-0300  
JML:bmb  
61123416 v1



Substitute for form 1449A&B/PTO				Complete if Known	
				Application Number	10/677,131
				Filing Date	September 30, 2003
				First Named Inventor	Balint, Robert F.
				Art Unit	1639
				Examiner Name	Teresa D. Wessendorf
Sheet	1	of	1	Attorney Docket Number	021167-001100US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> ( <i>if known</i> )			
	B1	US-5,503,977 A	04-02-1996	JOHNSSON, Nils and VARSHAVSKY, Alexander	
	B2	US-2003-0054413 A1	03-20-2003	KUMARASWAMY, Sriram, et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	B3	WEHRMAN, Tom, et al.; "Protein-protein interactions monitored in mammalian cells via complementation of $\beta$ -lactamase enzyme fragments;" <u>PNAS</u> ; March 19, 2002; pp. 3469-3474; 99:6.			<input type="checkbox"/>

Examiner Signature		Date Considered
--------------------	--	-----------------

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> Applicant is to place a check mark here if English language Translation is attached.